





# **Ensuring Compliance in an Evolving World**

## For Compliance Officers

DFP Partners understands both the importance of cybersecurity and the increasing complexities of modern cybersecurity threats. That's why we offer consulting services to help you gain visibility into your network vulnerabilities and provide advice on how to remedy these in partnership with your IT professional. Our services will help you ensure compliance with the proposed SEC Cybersecurity Risk Management Rules, and stay ahead of evolving FINRA guidance, so you feel prepared to protect your organization's critical data.

### DFP Partners has more than 40 years of consulting experience.

#### DFP Partners' Cybersecurity Solution

Cybersecurity is a top priority for regulators. As a result, we developed a cybersecurity solution which establishes compliance processes, training for your personnel, an annual penetration test, and a risk assessment in order to secure your critical data. Our consultants will help you feel confident in your cybersecurity protocols.

#### DFP PARTNERS' CYBERSECURITY PROGRAM:

- » Phishing Email Testing
- » Vendor Due Diligence
- Annual Risk Assessments
- » Yearly Penetration Testing
- » Customized Policies and Procedures
- » Quarterly Employee Cybersecurity Training

The core of our compliance solution provides network testing to ensure adherence to regulatory guidance, reasonably designed policies and procedures, testing of network vulnerabilities to identify external threats, periodic training, and phishing simulations. We pride ourselves on a collaborative approach where Compliance and your IT solution work together to attain improved program efficiencies.

The infrastructure and resources of a small business may vary greatly from a large global organization, but no organization is without risk of a cybersecurity breach – our compliance experts will tailor our solution to best fit your firm's specific needs.

Are you prepared to face today's cybersecurity challenges?

Get in touch with our team and find out how we can help you protect your business:



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